

This statement is issued by and on behalf of Guidepoint Global, LLC and its subsidiaries including Guidepoint Global UK Limited, pursuant to section 54 of the Modern Slavery Act 2015 (the "Act") and in respect of the financial year ended 31 December 2019.

1. Background

Guidepoint connects clients with vetted subject matter experts—Advisors—from our global professional network. Our clients leverage the insights and perspectives shared by our Advisors to stay informed and make better business decisions. Since 2003, Guidepoint has provided its clients with practical insights, setting up more than 900,000 interactions. Its slate of services, including phone consultations and meetings with Advisors, custom surveys, events and proprietary data products, help professionals gain a comprehensive understanding of a topic before making major investment and/or business decisions. Guidepoint's multinational client list includes nine of the top 10 consulting firms, over 100 private equity firms, over 400 hedge funds, and over 100 public companies. Guidepoint has over 900 employees worldwide. The company's industry-leading compliance platform -- overseen by Guidepoint's General Counsel, Catherine Smith, a former SEC enforcement attorney -- supports appropriate client-to-Advisor interactions through the application of Guidepoint's own policies and procedures as well as custom, client-specific controls the Company implements at clients' requests.

2. Structure

Guidepoint is head-quartered in New York (NY) with US offices in Boston (MA), Edison (NJ), Westport (CT), San Francisco (CA) and international offices in London, Dusseldorf, Athens, Dubai, Hong Kong, Singapore, Seoul, Tokyo and Shanghai. We service our clients on a global basis in varying jurisdictions.

As a company, we are committed to acting responsibly and supporting the objectives of the Act.

3. Steps Taken

a. Guidepoint Internally

i. Employees

Guidepoint employees are engaged through a formal contract of employment, complying with all local laws and best practices. Guidepoint has an Employee Handbook setting out a code of ethics and expected behavior of employees. Employees are given substantial training upon commencement of their employment. Guidepoint actively encourages engagement and feedback from our employees including whistleblowing. Employees are directed to report any policy violations to their manager, human resources or Guidepoint's Chief Compliance Officer, depending on the nature of the violation. Guidepoint prohibits retaliation against individuals who report violations of company policies.

b. Guidepoint Supply Chain

i. Advisors

Guidepoint utilizes the experience of its Advisors to provide expertise to its clients. These Advisors are independent contractors, qualified to provide consultancy services due to their experience or certain qualifications they hold. Guidepoint ensures all Advisors take thorough training in how to consult through Guidepoint's network including a robust compliance framework. Guidepoint maintains procedures regulating payments to Advisors ensuring the payments are correctly remitted to the accounts designated by the Advisors. For these reasons, Guidepoint considers its Advisors to be a low risk in relation to modern slavery concerns.

ii. General Suppliers

Due to our business model Guidepoint does not require a complex supply chain and utilizes a relatively small number of suppliers. Of those suppliers, we use few which require unskilled labour. We undertake due diligence into each supplier we seek to onboard. We require certain suppliers to fill in a Supplier Due Diligence Questionnaire. Guidepoint aims to incorporate questions relating to human rights and modern slavery into this questionnaire.

Guidepoint determines that the risk of modern slavery in our business and in our supply chain is low, however we acknowledge the importance of reviewing our processes and remaining alert to this risk as our business expands.

Signed for and on behalf of Guidepoint Global, LLC

Albert Sebag



CEO